**Policy Name:** 

PRIVACY, CONFIDENTIALITY & CHILDREN'S RECORDS

No: 7-2

#### INTRODUCTION

BDCS recognises and respects the importance of privacy and confidentiality as an individual right and a basis for building partnerships. Our service requires personal information from families to provide appropriate and responsive care. This policy has been developed to comply with the Australian Privacy Principles (APPs) (2014) and pursues the highest standard in the protection and preservation of privacy and confidentiality.

# OVERALL GOALS – What are we going to do?

We will:

- maintain private and confidential files for educators and staff, children and their families. We will develop systems for the appropriate use, storage and disposal of records.
- ensure the information in these files is used only for the education and care of the child enrolled in the service, and only shared with relevant or authorised people as defined within authorisations of the Education and Care Services National Regulations.

# OVERALL STRATEGIES - How will it be done?

Our education and care service aims to meet these goals through the adoption of this specific Privacy and Confidentiality policy and our Privacy Collection statement which will guide our practices in this area.

## The Approved Provider/ General Manager will:

#### **Collection of Information**

- Ensure that each family, staff, volunteers and student and committee member is provided with a
  privacy collection statement upon enrolment, that includes details about how they can access their
  personal information, have this corrected as needed, make a complaint about a breach of privacy, if
  one occurs.
- Ensure each staff member, committee members, volunteers and student information is correct in
  personnel and other files. This includes information on qualifications, WWCC, criminal history checks,
  staff entitlements, contact and emergency information, health and immunisation information, and
  any relevant medical and legal information. This would include any other relevant information
  collected by the service.
- Ensure that information collected from families, educators, committee members and the community is maintained and archived in a private and confidential manner at all times. This includes information relating to:
  - Staff information such as performance reviews and working with children checks
  - Families CCS records
  - Children's records such as incident and medication
  - Sign in and out timesheets for families
- Make decisions, based on current regulations, regarding the disposal or archiving of personal information. The disposal of any private and confidential information will be through:
  - o a paper shredder
  - $\circ\quad$  removal of file or part of file from computer
- Ensure that such information is not divulged or communicated (directly or indirectly) to another
  person other than the ways outlined as appropriate in the Education and Care Services National
  Regulations, 181, which says information can be communicated:
  - To the extent necessary for the education, care or medical treatment of the child;

- To the parent of the child to whom the information relates (except for information in staff records);
- To the regulatory authority or an authorised officer;
- As authorised, permitted or required to be given by or under any act or law; and
- With written consent of the person who provided the information.
- Ensure families are informed upon enrolment how images/photographs of their children will be used on the Internet and/or publications and gain written approval.
- Provide families with information on the Complaints and Feedback procedure if any privacy or
  confidentially procedure has been breached. Individuals can make a complaint to the Approved
  Provider if they believe there has been a breach of their privacy in relation to the Privacy principles.
  The breach will be assessed by the Approved Provider within 14 days. Where the information
  collected is incorrect, the information will be corrected. Where a serious breach of privacy is found,
  appropriate actions will be negotiated between the Approved Provider and the individual to resolve
  the situation, in line with the Complaints and Feedback procedure.
- Ensure information provided by families, staff and committee members is only used for the purpose it
  was collected for.

## The Nominated Supervisor will:

- Ensure each families' information is correct in enrolment records. This would include any information required to be recorded under the National Law and Regulations, the Family Assistance Law other relevant information collected to support the enrolment of a child, including:
  - Contact details of children, families, staff, students, volunteers, emergency contacts and management,
  - Income and financial details (credit card or bank information),
  - Family Assistance information,
  - Children's health status, immunisation and developmental records and plans, external agency information, custodial arrangements, incident records and medication records,
  - Staff documentation relating to recruitment and selection, performance reviews,
     qualifications, work history, Working with Children checks, health status, immunisation records and workers' compensation claims,
  - Student and volunteer work history and Working with Children checks
  - Information relating to families Child Care Subsidy (CCS) status and any other additional funding arrangements.
- Provide families with details on the collection of personal information collected. This information will include:
  - o The types of information collected by our education and care service;
  - The purpose of collecting information;
  - What types of information will be disclosed to the public or other agencies; and when and why disclosure may occur;
  - How information is stored at the service;
  - Approaches used to keep information secure;
  - Who has access to the information;
  - The right of the individual to view their personal information;
  - o The length of time information needs to be archived; and

- How information is disposed.
- Will ensure information provided by families and staff is only used for the purpose it was collected for
- Seek the permission from the General Manager before disposing of personal information.

### **Storage of Information**

- Ensure that BDCS records, personnel records, CCS information and children's and family's information is stored securely, reducing the chance of unauthorised access, use or disclosure and remains private and confidential within the education and care environment at all times.
- Personal information that is stored on a computer is protected by usernames and passwords. Only the personnel entitled to access this information can open the files.
- Information relating to management, staff, students, and volunteers is stored in locked files at the
  administration office. This information is only accessible to the General Manager, the members of the
  BDCS management committee, DoE accreditation staff and, with some limitations, to the
  Administration Officer.
- The General Manager provides, to a Nominated Supervisor, any management, staff, students, or volunteers, information required to execute their roles and responsibilities.
- Each child's personal information is stored in locked files at the relevant centre. All staff have access to this information.

#### **Access to Information**

- Will ensure that information kept is not divulged or communicated, directly or indirectly, to anyone other than:
  - Medical and developmental information that is required to adequately provide education and care for the child;
  - The Department of Education, or an authorised officer; or
  - As permitted or required by any Act or Law.
- Individuals will be allowed access to their personal information as requested. Individuals must request this information in writing from the Nominated Supervisor. Authorised persons may request to view any information kept on their child.
- Information may be denied under the following conditions:
  - Access to information could compromise the privacy of another individual;
  - o The request for information is frivolous or vexatious; and
  - The information relates to legal issues, or there are legal reasons not to divulge the information such as in cases of custody and legal guardianship.

### **Educators will:**

- Maintain children's information and store documentation according to policy at all times.
- Not share information about the education and care service, management information, other educators or children and families, without written permission or legislative authority.
- In keeping with the Early Childhood Australia (ECA) Code of Ethics (2008), the Education and Care Services National Regulations and the Privacy Legislation, educators and staff employed by our education and care service bound to respect the privacy rights of children enrolled and their families; educators and staff and their families and any other persons associated with the service. Educators will sign a Confidentiality Statement as it relates to privacy and confidentiality of information.
- Contact the appropriate government agency if the welfare of the child is at risk.
- Assist the Nominated Supervisor to ensure that all children's personal information is kept up to date.

This policy will be monitored to ensure compliance with legislative requirements and unless deemed necessary through the identification of practice gaps, the service will review this Policy every 18 months. Families and staff are essential stakeholders in the policy review process and will be given opportunity and encouragement to be actively involved.

In accordance with R. 172 of the Education and Care Services National Regulations, the service will ensure that families of children enrolled at the service are notified at least 14 days before making any change to a policy or procedure that may have significant impact on the provision of education and care to any child enrolled at the service; a family's ability to utilise the service; the fees charged or the way in which fees are collected.

#### **RELATED LEGISLATION**

- Children (Education and Care Services National Law Application) Act 2010
- Education and Care Services National Regulations
- Children and Young Persons (Care and Protection) Act 1998
- Australian Privacy Principles www.oaic.gov.au
- Office of the Australian Information Commissioner www.oaic.gov.au
- Privacy Act 1988 (Privacy Act) www.oaic.gov.au/privacy-law/privacy-act
- Early Childhood Australia www.earlychildhoodaustralia

#### LINKS TO:

- National Quality Standard, Quality Area 7: Governance and Leadership
- Education and Care Services National Regulations: 181

# **Policy Revision History**

| Date     | Authorised By   | Description of Amendments | Sections affected |
|----------|-----------------|---------------------------|-------------------|
| Jan 2021 | P. Ritchie – GM |                           |                   |
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• Review Date: Jan 2022